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ASSISTANT COMMISSIONER FOR PATENTS
PATENT APPLICATION
WASHINGTON, D.C. 20231

CASE DOCKET NO: YO920010308US1
DATE: April 20, 2001

Transmitted herewith for filing under rule 1.53(f) is the Patent Application of:

Inventors: Jack Oon Chu, Basanth Jagannathan, Alfred Grill,
Bernard Steele Meyerson and John A. Ott

ASSIGNEE NAME: International Business Machines Corporation
ASSIGNEE RESIDENCE: Armonk, New York

For: Epitaxial and Polycrystalline Growth of $Si_{1-x-y}Ge_xC_y$
and $Si_{1-y}C_y$ Alloy Layers on Si by UHV-CVD

Enclosed are:

☒ 11 Sheets of Informal Drawings.

☐ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

☐ A certified copy of a _____ application number _____.

☒ (Unsigned) Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	59 - 20 =	39
INDEP CLAIMS	5 - 3 =	2
____ MULTIPLE DEPENDENT CLAIM PRESENTED		

OTHER THAN A
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RATE	FEE
	\$ 710.00
X \$ 18 =	\$ 702.00
X \$ 80 =	\$ 160.00
+ \$270 =	\$ 0.00
TOTAL	\$ 1,572.00

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☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

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